

Do's

- A. Always deal with market intermediaries registered with SEBI/Exchanges.
- B. Give clear and unambiguous instructions to your broker/agent/depository participant.
- C. Always insist on contract notes for all the transactions from the main broker (**Jalan & Co.**) within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website www.nseindia.com for trades done on NSE and www.bseindia.com for trades done on BSE.
- D. Always settle the dues through the normal banking channels with the market intermediaries.
- E. Always make payment directly to the main broker (**Jalan & Co.**)
- F. Always give delivery of shares directly to the main broker (**Jalan & Co.**)
- G. Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted.
- H. Always sign a Member-Client Agreement or the tripartite agreement with the trading member & SEBI registered sub-broker of the trading member of NSE and BSE as the case may be.
- I. Please carry out due-diligence before registering as client with any Intermediary. Also, carefully read and understand the contents stated in the Risk Disclosure Document, which forms the part of client registration for dealing through intermediaries in the Stock market.

Don'ts

- J. Don't deal with unregistered brokers/sub-brokers, intermediaries.
- K. Don't leave the custody of your Demat Transaction slip book in the hands of any Intermediary.
- L. Don't fall prey to promises of guaranteed returns.
- M. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.