ACCOUNT OPENING KIT

INDEX

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. C-KYC form - Document captures the basic information about the constituent and an instruction/check list.	A1 to A4#
		B. Document captures the additional information about the constituent relevant to trading account and an instruction/check list.	A5 to A6#
2	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	B1 to B4*
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	B5 to B7*
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	B8*
5	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	A7#
6	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	A8#
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7	Running Account Authorization	Document detailing authorization for running account settlement (Quarterly or Monthly).	A9#
8	Disclosure Information	Document detailing disclosure of Proprietary trading apart from Client trading.	A10#

(#) KYC KIT A
(*) KYC KIT B

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For any grievance/dispute please contact Jalan & Co. at the above address or email id- info@jalanco.in and Phone no. 033-40628466. In case not satisfied with the response, please contact the concerned exchange(s) at ignse@nse.co.in and Phone no. 022-26598100-8114 for NSE and at cseisc@cse-india.com and Phone no. 033-40253018 for CSE-BSE.

KIT – A >>